

3739/IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Dinesh Mody et al.
APPLICATION NO.: 09/751,472
FILING DATE: December 29, 2000
TITLE: Tissue Ablation Apparatus With a Sliding Ablation Instrument and Method
EXAMINER: David M. Shay
GROUP ART UNIT: 3739
ATTY. DKT. NO.: 23488-09177

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated: 11/4/04

By: Albert C. Smith
Albert C. Smith, Reg. No.: 20,355

MAIL STOP AMENDMENT
COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☐ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- ☒ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;

PATENT

- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR §1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
 - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
 - ☐ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained

in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- ☐ attached hereto is the fee set forth under 37 CFR § 1.17(p) for submission of this Information Disclosure Statement under 37 CFR § 1.97(c); OR
- ☐ after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☒ Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. _____, filed on _____, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☐ This application was filed after June 30, 2003. Therefore, pursuant to the waiver of the requirements under 37 CFR 1.98(a)(2)(i), copies of each U.S. Patent and each U.S. Patent Application Publication are not required to be submitted. Copies of any foreign patent documents and non-patent literature cited herein are enclosed.
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
DINESH MODY ET AL.

Dated: 11/4/04

By: A.C. Smith
Albert C. Smith, Reg. No.: 20,355
Fenwick & West LLP
801 California Street
Mountain View, CA 94041
Tel.: (650) 335-7296
Fax.: (650) 938-5200



+

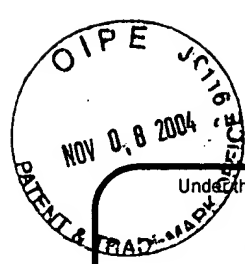
TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>		Application Number	09/751,472
		Filing Date	December 29, 2000
		First Named Inventor	Dinesh Mody
		Group Art Unit Number	3739
		Examiner Name	David M. Shay
Total Number of Pages in This Submission	14*	Attorney Docket Number	23488-09177

ENCLOSURES (check all that apply)	
<input type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Check Enclosed	<input type="checkbox"/> Issue Fee Transmittal
<input checked="" type="checkbox"/> Return Receipt Postcard	<input type="checkbox"/> Letter to Chief Draftsperson
<input type="checkbox"/> Response to Notice to File Missing Parts	<input type="checkbox"/> Formal Drawing(s): [] Sheet(s) of Figure(s) []
<input type="checkbox"/> Assignment & Recordation Cover Sheet	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Declaration	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Application Data Sheet	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Information Disclosure Statement & PTO/SB/08A <input checked="" type="checkbox"/> Copies of IDS Cited References	<input type="checkbox"/> _____
<input type="checkbox"/> Request for Corrected Filing Receipt	<input type="checkbox"/> _____
<input type="checkbox"/> Request for Correction of Recorded Assignment	<input type="checkbox"/> _____
<input type="checkbox"/> Amendment/Response: [] Page(s) <input type="checkbox"/> After Final	<input type="checkbox"/> _____
<input type="checkbox"/> Status Request	<input type="checkbox"/> _____
<input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/> _____

REMARKS: * No. of pages does not include pages of cited references

SIGNATURE OF ATTORNEY OR AGENT		
Signature:		
Attorney/Reg. No.:	Albert C. Smith/Reg. No. 20,355	Dated: 11/4/04

CERTIFICATE OF MAILING		
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.		
Signature:		
Typed or Printed Name:	Albert C. Smith	Dated: 11/4/04
Express Mail Mailing Number (optional):		



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application No.	09/751,472
Filing Date	December 29, 2000
First Named Inventor	Dinesh Mody
Art Unit	3739
Examiner Name	David M. Shay
Attorney Docket Number	23488-09177

Sheet 1 of 9

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document No. Number - Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US-3,598,108	08-10-1971	JAMSHIDI ET AL.
		US-3,886,944	06-03-1975	JAMSHIDI
		US-3,976,082	08-24-1976	SCHMITT
		US-4,033,357	07-05-1977	HELLAND ET AL.
		US-4,045,056	08-30-1977	KANDAKOV ET AL.
		US-4,204,549	05-27-1980	PAGLIONE
		US-4,244,371	01-13-1981	FARIN
		US-4,312,364	01-26-1982	CONVERT ET AL.
		US-4,409,993	10-18-1983	FURIHATA
		US-4,416,276	11-22-1983	NEWTON ET AL.
		US-4,448,198	05-15-1984	TURNER
		US-4,462,412	07-31-1984	TURNER
		US-4,465,079	08-14-1984	DICKHUDT
		US-4,494,539	01-22-1985	ZENITANI ET AL.
		US-4,564,200	01-14-1986	LORING ET AL.
		US-4,583,556	04-22-1986	HINES ET AL.
		US-4,601,296	07-22-1986	YERUSHALMI
		US-4,640,983	02-03-1987	COMTE
		US-4,641,646	02-10-1987	SCHULTZ ET AL.
		US-4,655,219	04-07-1987	PETRUZZI
		US-4,657,015	04-14-1987	IRNICH
		US-4,763,668	08-16-1988	MACEK ET AL.
		US-4,785,815	11-22-1988	COHEN
		US-4,800,899	01-31-1989	ELLIOTT
		US-4,823,812	04-25-1989	ESHEL ET AL.
		US-4,825,880	05-02-1989	STAUFFER ET AL.
		US-4,841,988	06-27-1989	FETTER ET AL.
		US-4,841,990	06-27-1989	KIKUCHI ET AL.
		US-4,891,483	01-02-1990	KIKUCHI ET AL.
		US-4,924,863	05-15-1990	STERZER
		US-4,945,912	08-07-1990	LANGBERG
		US-5,007,437	04-16-1991	STERZER

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

23488/09177/DOCS/1477000.1

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application No.	09/751,472
Filing Date	December 29, 2000
First Named Inventor	Dinesh Mody
Art Unit	3739
Examiner Name	David M. Shay
Attorney Docket Number	23488-09177

Sheet 2 of 9

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document No. Number - Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US-5,019,076	05-28-1991	YAMANASHI ET AL.
		US-5,057,106	10-15-1991	KASEVICH ET AL.
		US-5,085,659	02-04-1992	RYDELL
		US-5,097,845	03-24-1992	FETTER ET AL.
		US-5,100,388	03-31-1992	BEHL ET AL.
		US-5,114,403	05-19-1992	CLARKE ET AL.
		US-5,129,396	07-14-1992	ROSEN ET AL.
		US-5,150,717	09-29-1992	ROSEN ET AL.
		US-5,171,255	12-15-1992	RYDELL
		US-5,172,699	12-22-1992	SVENSON ET AL.
		US-5,188,122	02-23-1993	PHIPPS ET AL.
		US-5,190,054	03-02-1993	FETTER ET AL.
		US-5,192,278	03-09-1993	HAYES ET AL.
		US-5,207,672	05-04-1993	ROTH ET AL.
		US-5,230,349	07-27-1993	LANGBERG
		US-5,248,312	09-28-1993	LANGBERG
		US-5,295,955	03-22-1994	ROSEN ET AL.
		US-5,300,068	04-05-1994	ROSAR ET AL.
		US-5,300,099	04-05-1994	RUDIE
		US-5,301,687	04-12-1994	WONG ET AL.
		US-5,304,207	04-19-1994	STROMER
		US-5,334,168	08-02-1994	HEMMER
		US-5,344,431	09-06-1994	MERRITT ET AL.
		US-5,358,515	10-25-1994	HÜRTER ET AL.
		US-5,364,336	11-15-1994	CARR
		US-5,364,352	11-15-1994	CIMINO ET AL.
		US-5,364,392	11-15-1994	WARNER ET AL.
		US-5,369,251	11-29-1994	KING ET AL.
		US-5,370,675	12-06-1994	EDWARDS ET AL.
		US-5,370,677	12-06-1994	RUDIE ET AL.
		US-5,370,678	12-06-1994	EDWARDS ET AL.
		US-5,376,094	12-27-1994	KLINE

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

23488/09177/DOCS/1477000.1

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Sheet 3 of 9

Application No.	09/751,472
Filing Date	December 29, 2000
First Named Inventor	Dinesh Mody
Art Unit	3739
Examiner Name	David M. Shay
Attorney Docket Number	23488-09177

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document No. Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US-5,383,922	01-24-1995	ZIPES ET AL.
		US-5,391,147	02-21-1995	IMRAN ET AL.
		US-5,397,304	03-14-1995	TRUCKAI
		US-5,403,312	04-04-1995	YATES ET AL.
		US-5,431,649	07-11-1995	MULIER ET AL.
		US-5,445,193	08-29-1995	KOENINGER ET AL.
		US-5,454,370	10-03-1995	AVITALL
		US-5,454,733	10-03-1995	WATANABE ET AL.
		US-5,462,544	10-31-1995	SAKSENA ET AL.
		US-5,462,545	10-31-1995	WANG ET AL.
		US-5,470,308	11-28-1995	EDWARDS ET AL.
		US-5,484,433	01-16-1996	TAYLOR ET AL.
		US-5,487,757	01-30-1996	TRUCKAI ET AL.
		US-5,494,039	02-27-1996	ONIK ET AL.
		US-5,496,271	03-05-1996	BURTON ET AL.
		US-5,507,743	04-16-1996	EDWARDS ET AL.
		US-5,514,131	05-07-1996	EDWARDS ET AL.
		US-5,529,820	06-25-1996	NOMI ET AL.
		US-5,531,677	07-02-1996	LUNDQUIST ET AL.
		US-5,545,200	08-13-1996	WEST ET AL.
		US-5,549,644	08-27-1996	LUNDQUIST ET AL.
		US-5,569,242	10-29-1996	LAX ET AL.
		US-5,578,030	11-26-1996	LEVIN
		US-5,599,295	02-04-1997	ROSEN ET AL.
		US-5,603,697	02-18-1997	GRUNDY ET AL.
		US-5,607,389	03-04-1997	EDWARDS ET AL.
		US-5,628,771	05-13-1997	MIZUKAWA ET AL.
		US-5,643,255	07-01-1997	ORGAN
		US-5,673,694	10-07-1997	RIVERS
		US-5,683,382	11-04-1997	LENIHAN ET AL.
		US-5,683,384	11-04-1997	GOUGH ET AL.
		US-5,693,082	12-02-1997	WARNER ET AL.

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.
Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

23488/09177/DOCS/1477000.1

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application No.	09/751,472
Filing Date	December 29, 2000
First Named Inventor	Dinesh Mody
Art Unit	3739
Examiner Name	David M. Shay
Attorney Docket Number	23488-09177

Sheet 4 of 9

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document No. Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US-5,720,718	02-24-1998	ROSEN ET AL.
		US-5,737,384	04-07-1998	FENN
		US-5,741,225	04-21-1998	LAX ET AL.
		US-5,741,249	04-21-1998	MOSS ET AL.
		US-5,743,239	04-28-1998	IWASE
		US-5,755,760	05-26-1998	MAGUIRE ET AL.
		US-5,762,626	06-09-1998	LUNDQUIST ET AL.
		US-5,769,846	06-23-1998	EDWARDS ET AL.
		US-5,782,747	07-21-1998	ZIMMON
		US-5,785,707	07-28-1998	BOYD ET AL.
		US-5,797,905	08-25-1998	FLEISCHMAN ET AL.
		US-5,800,378	09-01-1998	EDWARDS ET AL.
		US-5,800,379	09-01-1998	EDWARDS
		US-5,800,413	09-01-1998	SWARTZ ET AL.
		US-5,800,482	09-01-1998	POMERANZ ET AL.
		US-5,807,309	09-15-1998	LUNDQUIST ET AL.
		US-5,807,395	09-15-1998	MULIER ET AL.
		US-5,810,803	09-22-1998	MOSS ET AL.
		US-5,814,028	09-29-1998	SWARTZ ET AL.
		US-5,823,197	10-20-1998	EDWARDS
		US-5,823,955	10-20-1998	KUCK ET AL.
		US-5,826,576	10-27-1998	WEST
		US-5,829,447	11-03-1998	STEVENS ET AL.
		US-5,836,990	11-17-1998	LI
		US-5,840,027	11-24-1998	SWARTZ ET AL.
		US-5,843,026	12-01-1998	EDWARDS ET AL.
		US-5,843,171	12-01-1998	CAMPBELL ET AL.
		US-5,853,366	12-29-1998	DOWLATSHAH
		US-5,855,614	01-05-1999	STEVENS ET AL.
		US-5,861,002	01-19-1999	DESAI
		US-5,861,021	01-19-1999	THOME ET AL.
		US-5,863,290	01-26-1999	GOUGH ET AL.

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

23488/09177/DOCS/1477000.1

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application No.	09/751,472
Filing Date	December 29, 2000
First Named Inventor	Dinesh Mody
Art Unit	3739
Examiner Name	David M. Shay
Attorney Docket Number	23488-09177

Sheet 5 of 9

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document No. Number - Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US-5,871,481	02-16-1999	KANNENBERG ET AL.
		US-5,871,525	02-16-1999	EDWARDS ET AL.
		US-5,904,709	05-18-1999	ARNDT ET AL.
		US-5,921,924	07-13-1999	AVITALL
		US-5,924,424	07-20-1999	STEVENS ET AL.
		US-5,938,692	08-17-1999	RUDIE
		US-5,954,662	09-21-1999	SWANSON ET AL.
		US-5,957,842	09-28-1999	LITTMANN ET AL.
		US-5,957,969	09-28-1999	WARNER ET AL.
		US-5,964,727	10-12-1999	EDWARDS ET AL.
		US-5,964,732	10-12-1999	WILLARD
		US-5,964,756	10-12-1999	McGAFFIGAN ET AL.
		US-5,971,983	10-26-1999	LESH
		US-6,004,269	12-21-1999	CROWLEY ET AL.
		US-6,012,457	01-11-2000	LESH
		US-6,016,811	01-25-2000	KNOPP ET AL.
		US-6,016,848	01-25-2000	EGRES, JR.
		US-6,027,497	02-22-2000	DANIEL ET AL.
		US-6,027,501	02-22-2000	GOBLE ET AL.
		US-6,056,735	05-02-2000	OKADA ET AL.
		US-6,068,628	05-30-2000	FANTON ET AL.
		US-6,086,583	07-11-2000	OUCHI
		US-6,090,105	07-18-2000	ZEPEDA ET AL.
		US-6,097,985	08-01-2000	KASEVICH ET AL.
		US-6,102,886	08-15-2000	LUNDQUIST ET AL.
		US-6,106,524	08-22-2000	EGGERS ET AL.
		US-6,146,378	11-14-2000	MUKUS ET AL.
		US-6,162,216	12-19-2000	GUZIAK ET AL.
		US-6,164,283	12-26-2000	LESH
		US-6,171,303 B1	01-09-2001	BEN-HAIM ET AL.
		US-6,178,354 B1	01-23-2001	GIBSON
		US-6,190,382 B1	02-20-2001	ORMSBY ET AL.

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

23488/09177/DOCS/1477000.1

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application No.	09/751,472
Filing Date	December 29, 2000
First Named Inventor	Dinesh Mody
Art Unit	3739
Examiner Name	David M. Shay
Attorney Docket Number	23488-09177

Sheet 6 of 9

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document No. Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US-6,224,587 B1	05-01-2001	GIBSON
		US-6,233,490 B1	05-15-2001	KASEVICH
		US-6,235,796 B1	05-22-2001	NIAZI
		US-6,251,128 B1	06-26-2001	KNOPP ET AL.
		US-6,277,113 B1	08-21-2001	BERUBE
		US-6,289,249 B1	09-11-2001	ARNDT ET AL.
		US-6,306,132 B1	10-23-2001	MOORMAN ET AL.
		US-6,309,388 B1	10-30-2001	FOWLER
		US-6,312,427 B1	11-06-2001	BERUBE ET AL.
		US-6,322,558 B1	11-27-2001	TAYLOR ET AL.
		US-6,325,796 B1	12-04-2001	BERUBE ET AL.
		US-6,325,797 B1	12-04-2001	STEWART ET AL.
		US-6,355,033 B1	03-12-2002	MOORMAN ET AL.
		US-6,364,876 B1	04-02-2002	ERB ET AL.
		US-6,383,182 B1	05-07-2002	BERUBE ET AL.
		US-6,471,696 B1	10-29-2002	BERUBE ET AL.
		US-6,514,246 B1	02-04-2003	SWANSON ET AL.
		US-6,517,568 B1	02-11-2003	SHARKEY ET AL.
		US-6,527,767 B2	03-04-2003	WANG ET AL.
		US-6,527,768 B2	03-04-2003	BERUBE
		US-6,533,780 B1	03-18-2003	LAIRD ET AL.
		US-6,645,200 B1	11-11-2003	KOBLISH ET AL.
		US-6,673,068 B1	01-06-2004	BERUBE
		US-6,802,840	10/12/2004	FATT et al.
		US 2001/0031961 A1	11-18-2001	HOOVEN
		US 2002/0087151 A1	07-04-2002	MODY ET AL.
		US 2002/0111613 A1	08-15-2002	BERUBE
		US 2002/0128642 a1	09-12-2002	BERUBE ET AL.
		US 2002/0193786 A1	12-19-2002	BERUBE ET AL.
		US 2003/0069575 A1	04-10-2003	FATT ET AL.
		US 2003/0073988 A1	04-17-2003	BERUBE ET AL.
		US 2003/0083654 A1	05-01-2003	FATT ET AL.

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

23488/09177/DOCS/1477000.1

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application No.	09/751,472
Filing Date	December 29, 2000
First Named Inventor	Dinesh Mody
Art Unit	3739
Examiner Name	David M. Shay
Attorney Docket Number	23488-09177

Sheet 7 of 9

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document No. Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US 2003/0109868 A1	06-12-2003	FATT ET AL.
		US 2003/0125725 A1	07-03-2003	WOODARD ET AL.
		US 2003/0163128 A1	08-28-2003	PATIL ET AL.
		US 2003/0050631 A1	03-13-2003	MODY ET AL.
		US 2003/0050630 A1	03-13-2003	MODY ET AL.

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
		EP0048402 A1	03-31-1982	OLYMPUS OPTICAL CO., LTD	
		EP0139607 A1	05-02-1985	YEDA RESEARCH AND DEVELOPMENT COMPANY, LTD.	
		EP0248758 A1	12-09-1987	BIODAN MEDICAL SYSTEMS LTD.	
		EP0839547 B1	09-24-2003	C.R.BARD, INC.	
		EP1005838 A1	06-07-2000	CORDIS WEBSTER, INC.	
		EP1118310 A1	07-25-2001	AFX, INC.	
		WO93/08757	05-13-1993	EP TECHNOLOGIES, INC.	
		WO93/15664	08-19-1993	AMERICAN MEDICAL SYSTEMS, INC.	
		WO93/20767	10-28-1993	EP TECHNOLOGIES, INC.	
		WO93/20768	10-28-1993	EP TECHNOLOGIES, INC.	
		WO93/20886	10-28-1993	EP TECHNOLOGIES, INC.	
		WO93/20893	10-28-1993	EP TECHNOLOGIES, INC.	
		WO96/36397	11-21-1996	ARROW INTERNATIONAL INVESTMENT CORP.	
		WO98/06341	02-19-1998	UNITED STATES SURGICAL CORPORATION	
		WO98/17185	04-30-1998	PLC MEDICAL SYSTEMS, INC.	
		WO98/44857	10-15-1998	GOLDBERG	
		WO99/08613	02-25-1999	SOMNUS MEDICAL TECHNOLOGIES, INC.	
		WO99/34860	07-15-1999	RADIONICS, INC.	
		WO00/24463	05-04-2000	FIDUS MEDICAL TECHNOLOGY CORP.	
		WO00/35363	06-22-2000	ORMSBY	
		WO00/56239	09-28-2000	ENDOCARE, INC.	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

23488/09177/DOCS/1477000.1

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application No.	09/751,472
Filing Date	December 29, 2000
First Named Inventor	Dinesh Mody
Art Unit	3739
Examiner Name	David M. Shay
Attorney Docket Number	23488-09177

Sheet 8 of 9

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
		WO01/05306 A1	01-25-2001	EPICOR, INC.	
		WO01/58373 A1	08-16-2001	IOTEK, INC.	
		WO02/01655 A2	01-03-2002	THE GILLETTE COMPANY	
		WO02/38052 A2	05-16-2002	BOSTON SCIENTIFIC LIMITED	

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶
		"Biopsy Needles Liver, Kidney and Soft Tissue Biopsy Menghini Technique Aspirating Needle Set," Popper & Sons, Inc., Biomedical Instrument Division	
		ANDRIOLE et al., "Biopsy Needle Characteristics Assessed in the Laboratory," Radiology, Volume 148, No. 3, September 1983, pp. 659-662	
		ARENDT-NIELSEN et al., "Selectivity of Spatial Filters for Surface EMG Detection from the Tibialis Anterior Muscle," [online], © 2000 [retrieved November 23, 2003], 2 pages, Retrieved from the Internet: <URL: http://www.lisin.polito.it/english/annual_reports/ar2002_uk/19uk.htm	
		CHENG, "Field and Wave Electromagnetics," 1989, Addison Wesley Publishing Co., Inc., pp. 485-509	
		GOTTLIEB et al., "Interstitial Microwave Hyperthermia Applicators Having Submillimetre Diameters," Int. J. Hyperthermia, Volume 6, No. 3, 1990, pp. 707-714	
		HAINES et al., "Tissue Heating During Radiofrequency Catheter Ablation: A Thermodynamic Model and Observation in Isolated Perfused and Superfused Canine Right Ventricular Free Wall," Pacint Clin Electrophysiol, June 1989, 12(6), pp. 962-976	
		KNAUT et al., "Interoperative Microwave Ablation for Curative Treatment of Atrial Fibrillation in Open Heart Surgery – The MICRO-STAF and MICRO-PASS Pilot Trial," Thorac.Cardiovasc.Surg. 47 (Supplement), 1999, pp. 379-384	
		LABONTE et al., "Monopole Antennas for Microwave Catheter Ablation," IEEE Transactions on Microwave Theory and Techniques, Volume 44, No. 10, October 1996, pp. 1832-1840	
		LANGBERG et al., "Catheter Ablation of the Atrioventricular Junction Using a Helical	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

23488/09177/DOCS/1477000.1

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application No.	09/751,472
Filing Date	December 29, 2000
First Named Inventor	Dinesh Mody
Art Unit	3739
Examiner Name	David M. Shay
Attorney Docket Number	23488-09177

Sheet 9 of 9

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶
		Microwave Antenna: A Novel Means of Coupling Energy to Endocardium," Pace, Volume 14, December 1991, pp. 2105-2113	
		LIEM et al., "Microwave Linear Ablation of the Isthmus Between the Inferior Vena Cava and Tricuspid Annulus," Pace, Volume 21, November 1998, pp. 2079-2086	
		MATSUKAWA et al., "Percutaneous Microwave Coagulation Therapy In Liver Tumors: A 3-Year Experience," Acta Radiologica, Vol. 38, 1997, pp. 410-415	
		MURAKAMI et al., "Treatment of Hepatocellular Carcinoma: Value of Percutaneous Microwave Coagulation," American Journal of Roentgenology, Volume 164, No. 5, May 1995, pp.1159-1164	
		SATO et al., "Microwave Coagulation Therapy for Hepatocellular Carcinoma," Gastroenterology, Volume 110, No. 5, May 1996, pp. 1507-1514	
		SATO et al., "Two Long-Term Survivors After Microwave Coagulation Therapy for Hepatocellular Carcinoma: A Case Report," Hepatogastroenterology, Volume 43, No. 10, July 1996, pp. 1035-1039	
		SEKI et al., "Ultrasonically Guided Percutaneous Microwave Coagulation Therapy for Small Hepatocellular Carcinoma," Cancer, Volume 74, No. 3, August 1, 1994, pp.817-825	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

23488/09177/DOCS/1477000.1